

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Ziopharm Oncology, Inc.

Application No./Patent No.: 08/925,326 Filed/Issue Date: September 8, 1997

Entitled: N-SUBSTITUTED INDOL-3-GLYOXYLAMIDES HAVING ANTI-ASTHMATIC ANTIALLERGIC AND IMMUNOSUPPRESSANT/IMMUNO-MODULATING ACTION

Ziopharm Oncology, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Guillaume Lebaud, Cecilia Menci, Bernhard Kutscher, Peter Emig, Stefan Szelenyi and Kay Brune To: Asta Medica Aktiengesellschaft
The document was recorded in the United States Patent and Trademark Office at Reel 009032, Frame 0659, or for which a copy thereof is attached.
2. From: Asta Medica Aktiengesellschaft To: Baxter Healthcare S.A. & Baxter International Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 019714, Frame 0687, or for which a copy thereof is attached.
3. From: Baxter Healthcare S.A. & Baxter International Inc. To: Ziopharm Oncology, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 019714, Frame 00701, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Jonathan M. Sparks, Ph.D./
Signature
Jonathan M. Sparks, Ph.D.
Printed or Typed Name
Attorney for Assignee
Title

November 6, 2012
Date
617-449-6500
Telephone Number